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| WY & FRAUL  |  |   | Application Num   | ber  | 09/476,448#4/lmarax  |  |
| TRANSMITTAL   |  |   | Filing Date       |  | December 30, 1999  |  |
| FORM  |  |   | First Named Inve  | entor  | Stuart Lee Breslow,, et al.  |  |
| (to be used for all correspondence after initial filing)  |  |   | Group Art Unit    |  | 2768   |  |
|   |  | Examiner Name   |                   | Not yet assigned                               |  |  |
| Total Number of Pages in This Submiss   |  | nission 11  | Attorney Docket N | Number   | 4797-34  |  |
| ENCLOSURES (check all that apply)   |  |   |                   |  |  |  |
| Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 |  | Assignment Papers (for an Application)  Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks |                   |  | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information  Status Letter  Other Enclosure(s) (please identify below): Statement under 37 C.F.R. §3.73(b); Declaration of ownership pursuant to 37 C.F.R. §2.20; Certificate of amendment from Delaware Secretary of State; ncumbency certificate; Request to change attorney docket number; Return receipt postcard. |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |  |   |                   |  |  |  |
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| Signature Table   |  |   | 5 Retteins        |  |  |  |
| Date  |  | 10/10/2001  |                   |  |  |  |
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| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:  October 10, 2001  |  |   |                   |  |  |  |
| Typed or printed name   |  | Joyce Sapjeta   |                   |  |  |  |
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## YED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Stuart Lee Breslow, et al.

Group Art Unit:

2768

Serial No.:

09/476,448

Examiner:

Not yet assigned

Filed:

December 30, 1999

Attorney Docket No.:

4797-34

For:

**ON-LINE TRADING SYSTEM** 

September 13, 2003 Roll Oct The Property of th

**Assistant Commissioner for Patents** Washington, D.C. 20231

REQUEST TO CHANGE ATTORNEY DOCKET NUMBER

Applicants request a change of the attorney docket number in this application from 4034-46 to 4797-34.

Respectfully submitted,

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